Colorado Sex Offender Management Board (SOMB)

APPLICATION 2 Initial Three Year and/or Change of Status Application

for Placement on the Adult and/or Juvenile Provider List

Associate and Full Operating Level Treatment Provider, Evaluator, Clinical Supervisor, and/or **Developmental Disabilities Specialty**



Sex Offender Management Board

Colorado Department of Public Safety Division of Criminal Justice Office of the Sex Offender Management Board 700 Kipling Street, Suite 3000, Denver, CO 80215

https://www.colorado.gov/dcj

Telephone: (303) 239-4526 or 4199 Fax: (303).239.4491



What Application Should I Be Using?

Application 1 – First Application for Associate Level

Application 1 is used when a provider is applying to SOMB for the first time for a 12-month initial listing. Application 1 is also used when adding on to your listing (e.g. adding DD Specialty or Evaluator status).

Application 2 – Initial Three Year Associate and/or Change of Status Application

Application 2 is used when a provider has completed Application 1, completed an initial 12-month listing and is now applying to be listed at the Associate or Full Operating Level for the next three (3) years.

Application 2 is also used anytime you are changing your status (e.g. moving from Associate Level to Full Operating Level).

<u>Application 3 – Renewal of Current Listing as Associate Level,</u> Full Operating Level, and/or Clinical Supervisor

This application is used when a provider has completed Application 2, completed a three (3) year listing, and is renewing the current status for the next three (3) year renewal period.

Who Should Complete this Application?

This application should be completed by individuals who have been Associate Level Providers for a minimum of one year, or individuals who are renewing a status (Evaluator, Developmental/Intellectual Disabilities, Clinical Supervisor) or moving up (Full-Operating, Clinical Supervisor), and who are providing services to convicted adult sex offenders and/or adjudicated juveniles who have committed a sexual offense. Applicants must demonstrate that they meet ALL of the qualifications pursuant to the requested listing status. Applicants must also comply with standards of practice contained in the *Standards and Guidelines* published by the Colorado Sex Offender Management Board (SOMB). Please note, applicants shall apply as individuals, not as partnerships or programs.

Polygraph examiners should not submit this form. Please see Polygraph Examiner applications.

How to Complete this Application

- Please read all of the application in its entirety. It is updated and changed annually.
- The applicant should request assistance from his/her clinical supervisor in completing this application.
- Within the body of this application, you will be asked to attest to your compliance with training and clinical experience according to very specific sections of the *Standards & Guidelines*. The applicant should first read and understand the *Standards* and Guidelines before completing this application. Within the body of this application, you will be asked to document your training; you may wish to compile these materials in advance.
- When complete, you should return a <u>single-sided hard copy</u> of the application with the required attachments to the address on the cover page, "Attention: SOMB." Save a copy of the completed application, including attached documents for your files.
- Additional copies of application materials and current *Standards and Guidelines* are available at https://www.colorado.gov/dcj or by contacting (303) 239-4526.
- Questions may be addressed to the Adult Standards Coordinator at (303) 239-4499 for questions pertaining to the adult portion of this application, and to the Juvenile Standards Coordinator at (303) 239-4197 for questions pertaining to the juvenile portion of this application.
- Standards compliance will be assessed over time through a periodic renewal process (every three years), a monitoring process, a mechanism to receive and investigate complaints within the policies established for such complaints and via Standards Compliance Reviews according to SOMB policy and procedures.

General Instructions

Your adherence to the instructions throughout the application will help ensure that your application is not returned to you by the Sex Offender Management Board staff or otherwise delayed.

- 1. Follow all instructions carefully.
- 2. Use the forms provided in this application.
- 3. Submit ONLY the information requested.
- 4. Submit the required information in the order requested.
- 5. Keep a copy of your completed application and attachments for your files.
- 6. <u>PLEASE DO NOT</u> use staples, paper clips, binders, sheet protectors or other materials because all applications are copied multiple times in their entirety during processing.
- 7. Please submit all materials on **SINGLE-SIDED COPIES**.
- 8. Providers applying for the Initial Three Year Associate Level MUST submit a money order or check for \$125.00 made payable to Colorado Department of Public Safety. This is utilized for the cost of your background check pursuant to C.R.S. and current Standards, which is required every three years. This fee is NON-REFUNDABLE.

Providers applying for Change of Status do not need to submit payment.*

APPLICANT NAME:		
<u>DATE</u> :	Provider #:	(SOMB use only)

For Placement on the Sex Offender Management Board's Provider List as a Treatment Provider and/or Evaluator. Adult and Juvenile Application

Please check the categories for which you are applying

I INITIAL THREE YEAR ASSOCIATE		CHANGE OF STATUS
ADULT ASSOCIATE LEVEL TREATMENT PROVID	ER	
DEVELOPMENTAL/INTELLECTUAL DISAB	ILIT	IES SPECIALTY
ADULT ASSOCIATE LEVEL EVALUATOR		
DEVELOPMENTAL/INTELLECTUAL DISAB	BILIT	TIES SPECIALTY
ADULT FULL-OPERATING LEVEL TREATMENT P	RO	VIDER
DEVELOPMENTAL/INTELLECTUAL DISABIL	ITIE	S SPECIALTY
ADULT FULL-OPERATING LEVEL EVALUATOR		
DEVELOPMENTAL/INTELLECTUAL DISABIL	ITIE	S SPECIALTY
JUVENILE ASSOCIATE LEVEL TREATMENT PRO	VID	ER
DEVELOPMENTAL/INTELLECTUAL DISABIL	ITIE	S SPECIALTY
JUVENILE ASSOCIATE LEVEL EVALUATOR		
DEVELOPMENTAL/INTELLECTUAL DISABIL	ITIE	S SPECIALTY
JUVENILE FULL-OPERATING LEVEL TREATMEN	T P	ROVIDER
DEVELOPMENTAL/INTELLECTUAL DISABIL	ITIE	S SPECIALTY
JUVENILE FULL-OPERATING LEVEL EVALUATO	R	
DEVELOPMENTAL/INTELLECTUAL DISABIL	ITIE	S SPECIALTY
CLINICAL SUPERVISOR		

Background and Identifying Information

Adult and Juvenile Re-Applicants

This information will be used by SOMB staff to conduct a criminal history check, a background investigation, and to document your qualifications. Applicant Name: _____ Credentials (MA, LCSW, etc.): Aliases: Gender: ☐ Male ☐ Female Date of Birth: Home Address: (Street, City, State and Zip Code):_____ Home Phone: Please note that the home address is considered CONFIDENTIAL and will only be used if the staff is unable to locate you through your employer. Employer or Business name, address, phone, fax, and email information is used for the approved provider list. Employer Name: _____ Agency Address (Street, City, State and Zip Code): County of Primary Location: Telephone: _____ Fax: _____ Email: _____ You may list up to five addresses and counties on the provider list. Please list the **full address**, the County, and circle Adult Juvenile or Both. County: Adult/Juvenile/Both ___ County: _____ Adult/Juvenile/Both County: _____ (Phone) Adult/Juvenile/Both ___ County: ____ Adult/Juvenile/Both __ County: _____ Adult/Juvenile/Both

Please list languages, other than English, which you speak <u>fluently</u> and in which you can demonstrate clinical proficiency (this information will be published on the Provider List):

Authorization for Release of Information

Adult and Juvenile Applicants

	orize and consent to have an investigation made as to my
Provider List as one or more of the following	fitness to be on the Sex Offender Management Board's g: Associate Level Treatment Provider, Associate Level tment Provider, Full Operating Level Evaluator,
-	Supervisor. I agree to give any further information that
may be required in reference to my past record.	
court association, or institutions having possi- pertaining to me, to furnish to the Sex Offende limited to, documents and records, informal, p the Sex Offender Management Board or any of	clinic, government agency (local, state, federal or foreign), session of any documents, records or other information er Management Board such information, including, but not rending or closed, or any other pertinent data and to permit f its designated officers, committees, or staff to inspect and ther information in connection with this application.
personal financial records, bank accounts, loans	ormation or records does not include consent for release of s or other such personal information not related to my moral as a treatment provider and/or evaluator and/or polygraph
representatives, and any person furnishing such kind arising out of the furnishing of such it organizations, hospitals and hospital committee organizations and agencies present to the Sex	the Sex Offender Management Board, its agents and h information from any and all liability of every nature and nformation to other medical or professional societies or ees, and government agencies in the event that other such Offender Management Board a release of authorization for simile of such release or authority executed by me.
Signature of Applicant	Clearly Printed Applicant Name
Date	

Recent Employment History (Attach Resume)

Adult and Juvenile Applicants

Please list your place(s) of employment and positions for the last five years starting with your current or most recent employment. If you practiced psychotherapy in another state, with or without a license, please also include that work experience. You may substitute a professional resume if it provides all the information requested.

You may copy this page Employer/Business Name: Telephone: Street Address: City: State: Zip Code: Position: Dates of Employment: Unless you were self-employed, list supervisor name: Telephone: If self-employed, provide the name of a professional reference to verify this employment: Telephone: Summary of job duties: Reason for leaving: Employer/Business Name: Telephone: Street Address: City: State: Zip Code: Position: Dates of Employment: Unless you were self-employed, list supervisor name: Telephone: If self-employed, provide the name of a professional reference to verify this employment: Telephone: Summary of job duties: Reason for leaving:

You may substitute a professional resume if it provides all the information requested.

ACADEMIC DEGREE	SPECIALTY AREA	DATE OF DEGREE	NAME OF COLLEGE OR UNIVERSITY	LOCATION-CITY & STATE
B.A./B.S.				
M.A., M.S., M.S.W.				
Ed.D.				
Ph.D.				
Psy.D.				
Psychiatric Clinical Nurse				
M.D.				
Board Certified:	Yes No			
Other (describe)				

•	Have you ever received a written reprimand at any place of employment? □ NO □ YES If yes, please explain.
•	Have you ever been suspended, fired, or asked to resign from a position or employment? □ NO □ YES If yes, please explain.
•	Have you ever been arrested, charged or convicted of any criminal offense? □ NO □ YES If yes, please explain.
•	Have you ever been convicted of, or received a deferred judgment for, any offense involving criminal sexual or violent behavior? □ NO □ YES If yes, please explain.
•	Have you ever been convicted of a felony? □ NO □ YES If yes, please explain.

Background and Identifying Information Continued

ALL APPLICANTS WHO ARE NOT LICENSED <u>MUST</u> BE REGISTERED AS AN UNLICENSED PSYCHOTHERAPIST WITH THE DEPARTMENT OF REGULATORY AGENCIES (DORA) IN ORDER TO BE PLACED ON THE SOMB PROVIDER LISTS <u>EVEN IF YOUR CURRENT EMPLOYMENT DOES NOT REQUIRE IT.</u>

Do you have	e a current Colorado license to practice psychotherapy?
□ NO (*A copy of yo 43-603 C.R.S.)	☐ YES pur license must be attached to this application per sections (12-43-303; 12-43-403; 12-43-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505; 12-505
A. If yo	u are not licensed:
a	. Are you an Unlicensed Psychotherapist?
	□ NO □ YES (*A copy of your registration must be attached to this application per sections (12-43-702.5,
	C.R.S.)
	*This requirement applies to ALL applicants, including Department of Corrections.
b	Are you in the process of applying for a Colorado license? □ NO □ YES
c	. Have you practiced psychotherapy without a license in any other state? ☐ NO ☐ YES
	If yes, please list those states and include this experience in your employment history form.
Have you ev	ver been licensed or certified to practice psychotherapy in any other states?
□NO	□ YES
If Yes, pleas	e list those states and include this experience on the employment history page.
	ever been allegations about you engaging in unethical behavior by any certifying body in Colorado or any other state or jurisdiction?
□ NO	□ YES
If yes, please	e explain:
Have you e	ver had a license or certification revoked, canceled, suspended or have you

been placed on probationary status by any professional licensing body? This includes

□ NO	□ YES
If yes, plea	se explain:
Have you psychothe	n ever voluntarily relinquished a license or certification to provider rapy?
□NO	□ YES
If yes, plea	se explain:
II area reas	erren velkuntenilar en invelkuntenilar limited, medreesd en leet enve elimisel en meente
	ever voluntarily or involuntarily limited, reduced or lost any clinical or menta ff privileges?
health staf	ff privileges?
health stat ☐ NO	ff privileges?
health stat ☐ NO	ff privileges?
health state ☐ NO If yes, plea ☐ Do you ha	ff privileges?
health state ☐ NO If yes, plea ☐ Do you ha	if privileges? ☐ YES se explain: ve any pending professional liability or malpractice actions, or final judgments
health stat ☐ NO If yes, plea Do you ha or settleme	Figure Privileges? □ YES se explain: ve any pending professional liability or malpractice actions, or final judgments ents involving your professional practice? □ YES

Initial

Statement of Understanding

- 1. I understand that the information I have submitted on this application for the Sex Offender Management Board Provider List will be used for the following purposes:
- A. To conduct criminal history checks and background investigations as necessary.
- B. To create and disseminate a provider list of treatment providers, evaluators, and/or polygraph examiners.
- 2. My application materials will become a public record of the Division of Criminal Justice and may be subject to open record act requests pursuant to Section 24-72-304, C.R.S.
- 3. Inclusion on the provider list does not constitute certification or licensure and should not be represented as such. It does not create an entitlement or guarantee that I will receive referrals. If I am approved to be on the Provider List, it means that I am eligible to be considered as a provider of evaluation, assessment, treatment, and/or behavioral monitoring services for convicted sex offenders and/or adjudicated juveniles who have committed a sexual offense, pursuant to Section 16-11.7-106, C.R.S. which states:
- "(1) The department of corrections, the judicial department, the division of criminal justice of the department of public safety, or the department of human services shall not employ or contract with and shall not allow a sex offender to employ or contract with any individual or entity to provide sex offender evaluation or treatment services pursuant to this article unless the sex offender evaluation or treatment services to be provided by such individual or entity conforms with the standards developed pursuant to Section 16-11.7-103(4) (b)."
- (2) The board shall require any person who applies for placement on the list of persons who may provide sex offender treatment services pursuant to this article to submit a complete set of his or her fingerprints. The board shall forward any such fingerprints received pursuant to this subsection (2) to the Colorado Bureau of Investigation for use in conducting a state criminal history record check and for transmittal to the federal bureau of investigation for a national criminal history record check. The board shall use the information obtained from the state and national criminal history record check in determining whether to place the person on the approved provider list.
- 4. The Sex Offender Management Board will release information to all referring agencies regarding the status of my application, my placement on the Provider List, founded complaints, removal from the Provider List or denial of my application to the Provider List.
- 5. In the event a complaint is filed against me, the contents of my application will be reviewed by the Sex Offender Management Board accordance with the Sex Offender Management Board Administrative Policies.
- 6. I have read the Standards and Guidelines for the Assessment, Evaluation, Treatment and Behavioral Monitoring of Adult Sex Offenders and/or the Standards and Guidelines for the Evaluation, Assessment, Treatment, and Supervision of Juveniles Who Have Committed Sexual Offenses in its entirety, including any revisions, and I understand and agree to carry out the Standards to the best of my ability related to the listing and level for which I am applying. I have answered all questions on this application honestly and the answers are complete to the best of my knowledge. I further understand that false statements or misstatements on this application are grounds for removal from the SOMB Provider Lists.
- 7. You <u>must</u> notify the SOMB, in writing, within two weeks, of any changes to your name, address, telephone number, program name, program materials, clinical supervisor (*submit a revised supervision agreement if your supervisor changes*) or if you have added an additional treatment location. This should be done as soon as possible to avoid administrative problems and ensure accurate placement on the approved provider list. If the staff of the SOMB cannot locate you or reach you, your name will be removed from the approved provider list.

Continues on next page

Statement of Understanding

Printed Name of Applicant:	
Signature of Applicant:	Date:
Printed Name of Clinical Supervisor:	
Signature of Clinical Supervisor:	Date:

8. I am in good standing as a mental health provider and adhering to all the requirements with the Department of Regulatory Agencies (DORA). I <u>must</u> provide the SOMB, in writing, within ten days, any changes to my professional status, such as grievances, license revocations, criminal charges/arrest or any other changes to my

professional standing. (Please reference the Administrative Policies in SOMB standards).

References

- The Sex Offender Management Board background investigator will contact a minimum of four of the six references as part of the background check.
- All references must be familiar with your sex offense specific work and at least two (2) of the references listed must be members of a Community Supervision Team (CST) and/or Multidisciplinary Team (MDT) in which you participate.
 - DOC/DYC EMPLOYEES: Since you may not be working with CST and/or MDT Teams you may provide names other professionals familiar with your sex offense specific work.
- If you are applying as an Adult AND Juvenile Provider, please provide references that can speak about your ability to work with BOTH populations.
- If you are not providing direct clinical services, please submit six references that are familiar with your work as it pertains to your work in the field of sex offender treatment and/or evaluation.

PROFESSIONAL REFERENCES

Name:	Position:
Address:	
Telephone number:	Email:
Name:	Position:
Address:	
	Email:
Name:	Position:
Address:	
	Email:
QUIRED ADDITIONAL REFER	ENCES - Must be familiar with your offense-specific work.
PERVISING OFFICER, PROBATIO	ON/PAROLE
Name:	
Telephone number:	Email:

Continues on next page

VICTIM ADVOCATE, VICTIM THERAPIST, VICTIM REPRESENTATIVE OR OTHER VICTIM PROFESSIONAL - You must have a victim reference. If you don't, please contact the Adult Standards Coordinator or the Juvenile Standards Coordinator.

Name:		
Position:		_
	Email:	_
POLYGRAPH EXAMINER, TREATM indicate the individual's profession below.	MENT PROVIDER, EVALUATOR, OR OTHER - Ple	ase
Name:		
1 OSITIOII.		_
		-

Specialized Training

This form is required for all applicants.

- Training attendance will be considered for the past **five** (5) years. Please reference section 4.000 regarding specific training requirements.
- Specialized training is important to obtain since there is currently no graduate curriculum specialty area of sex offender treatment. Although you may have received excellent clinical supervision, you may not use clinical supervision as "training."
- Generally, the length of the workshop or training equals hours of training. FOR CONFERENCES, YOU **MUST ITEMIZE EACH WORKSHOP** ON A SEPARATE LINE.
- You may count e-learning and CD/DVD trainings for half (1/2) credit. Actual courses or webinar trainings can count for full credit.
- If you were the trainer, you may count the training you conducted as long as it does not exceed more than half of your total hours.
- Only 25% of the total required training hours can be comprised of in-house training within your agency/program.
- Please note the SOMB Standards states the provider shall complete forty (40) hours of training, which includes the SOMB Introductory training to the Standards or the SOMB Standards Booster training. This is required for initial listing, movement to full operating level and at each renewal period. A copy of your certificate is required. Please review Section 4.000 for specific training requirements.
- You may count committee participation at 1 hour per meeting with a maximum of 6 hours which can be applied to the required number of training hours.
- The SOMB staff may request copies of training certificates at any time and will conduct standard compliance reviews.

You may copy this page.

DATES	HOURS	TITLE OF TRAINING	SPONSOR/TRAINER	Adult, ("A") Juvenile ("J") or Both ("AJ")
1/4/2012	6	Victims of Sexual Assault	Jerry Smith, L.P.C. NEARI Press	AJ

BY SIGNING THIS FORM YOU ARE ATTESTING TO THE FACT THAT YOU HAVE ATTENDED THE TRAINING REQUIRED ACCORDING TO THE COMPENTENCY-BASED PROVIDER APPROVAL MODEL RESPECTIVE TO YOUR SPECIFIC LISTING STATUS.

Printed Name of Applicant	Signature of Applicant	Date
Printed Name of Clinical Supervisor	Signature of Clinical Supervisor	Date

Professional Supervision Agreement For Associate Level Treatment Providers and/or Evaluators:

Adult and Juvenile Applicants

I understand that is practicing under my lice		g under my licensure and SOMB listing	
Standards and Guid	delines along with the SOMB Adm	supervision. I am adhering to the SON inistrative Policies and have developed in accordance with the Print Applicant's Name	an
Competency Based Committee upon re		nave it available for the Application Revi	ew
If any of your in	formation changes, including a chan information to the SOMB w	ge with supervision, you must report the ithin <u>two weeks.</u>	
Applicant's Name	e (Please Print Clearly)		
Applicant's signat	ture:	Date:	
Supervisor's Nam	e (Please Print Clearly)		
Supervisor's signature:		Date:	
	face-to-face supervision hours s calculated as follows:	pecific to sex offense specific treatmo	ent
	Direct Clinical Contact Hours per Month	Minimum Supervision Hours per Month	
	0-59	2	
	60-79	3	
	80 or more	4	
Will you be utilizi conferencing? Ye If yes, please expla		, i.e., phone, video	

Qualifications of Treatment Providers and/or Evaluators

Adult and Juvenile Applicants

Required Attachments*

Associate Level Applicants applying for their initial three listing must provide the following attachments:

- One (1) copy of a recent offense-specific treatment plan and one (1) copy of an evaluation with redacted client identifying information. If you are currently listed as a provider who treats clients with developmental and/or intellectual disabilities, the document(s) you attach must attest to your work with this specific population.
- An updated competency rating from your clinical supervisor for the past three years. Competency Assessments may be downloaded via the following link: https://www.colorado.gov/pacific/dcj/somb-provider-applications.
- A narrative as to how you are staying active in the field (which may include training, research you have read or participated in, and further information regarding current treatment, consultation or supervision practices you have engaged in during this renewal cycle).
- Evidence of registration as an Unlicensed Psychotherapist OR evidence of Licensure.
- Copy of your current Driver's License.
- \$125.00 check or money order made out to Colorado Department of Public Safety.

Associate Level Applicants applying to move up to Full Operating Level must provide the following attachments:

- One (1) copy of a recent offense-specific treatment plan and one (1) copy of an evaluation with redacted client identifying information. If you are currently listed as a provider who treats clients with developmental and/or intellectual disabilities, the document(s) you attach must attest to your work with this specific population.
- An updated competency rating from your clinical supervisor for the past three years. Competency Assessments may be downloaded via the following link: https://www.colorado.gov/pacific/dcj/somb-provider-applications.
- A detailed letter from your clinical supervisor indicating his/her recommendation that you move to Full Operating Level status.
- A narrative as to how you are staying active in the field (which may include training, research you have read or participated in, and further information regarding current treatment, consultation or supervision practices you have engaged in during this renewal cycle).
- Evidence of registration as a Registered Psychotherapist OR evidence of Licensure.
- Copy of your current Driver's License.
- \$125.00 money order or check made out to Colorado Department of Public Safety Please note: If you are applying for a Change in Status (and not your three-year renewal) you do not need to submit the \$125.00 fee.

Request for Waiver of Qualifications of Treatment Providers and/or Evaluators

Adult and Juvenile Applicants

I, am reque	sting a waiver of certain criteria for the Qualifications of
	rs. I understand that due to my lack of being able to provide
all required documentation or inform	ation required by the SOMB Standards, there may be certain
conditions I must agree to in order to	obtain approval of the requested listing.
*	ou with to have waived below and provide an explanation as not been able to meet this requirement.
□ Professional Reference○ Type:	
Please explain:	
Training RequirementsIntroduction or Booste	er
If yes, please explain:	
II	
Hours Requirement:Amount Comp	oleted:
If yes, please explain:	
Other:Please explain:	

Clinical Supervisors

Applicants may apply for approval as an SOMB Clinical supervisor once they have met the required qualifications and completed the following:

- □ Receive supervision from an approved SOMB clinical supervisor for assessment of their supervisory competence.
- ☐ Be assessed as competent in SOMB clinical supervisor Competency #1.
- ☐ Provide supervision, when deemed appropriate, under the oversight of their SOMB clinical supervisor.

Required Attachments

- A competency rating from your clinical supervisor. Competency Assessments may be downloaded via the following link: https://www.colorado.gov/pacific/dcj/somb-provider-applications.
- A detailed letter from your clinical supervisor indicating his/her recommendation that you move to Clinical Supervisor status.
- Please document attendance to the clinical supervisor training, if applicable.
- A narrative as to how you are staying active in the field (which may include training, research you have read or participated in, and further information regarding current treatment, consultation or supervision practices you have engaged in during this renewal cycle).